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MMUSIC WG
Peter Kirstein
Goli Montasser-Kohsari
Edmund Whelan
University College London

Specification of Security in SAP Using Public Key Algorithms

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Abstract

The Session Announcement Protocol (SAP) has been specified in such a way that authentication and privacy can be assured. However the algorithms and mechanisms to achieve such security are not prescribed in the current draft. This document extends the SAP protocol, by describing specific algorithms and formats of authentication and encryption formats based on PGP and PKCS#7 standards. It is a companion document to draft-ietf-mmusic-sap.

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Glossary

ASN	Abstract Syntax Notation
CT	Content Type
CTB	Cipher Type Byte
DA	Digest Algorithm
DEA	Digest Encryption Algorithm
DES	Data Encryption Standards
EAID	Encryption Algorithm Identifier
EK	Encryption Key
EKID	Encryption Key Identifier
IETF	Internet Engineering Task Force
MD	Message Digest
MMUSIC	Multiparty Multimedia Session Control
PEM	Privacy Enhanced Mail
PGP	Pretty Good Privacy
PH	Privacy Header
PK	Public Key
PKCS	Public Key Cryptographic System
PKCS	Public Key Cryptography Standard (as in PKCS#7)
SAP	Session Announcement Protocol
SDP	Session Descriptor Protocol
SEK	Session Encryption Key
SK	Secret Key
SGK	Secret Group Key
SHA	Secure Hash Algorithm

1. Introduction

An Mbone session directory is used to advertise multimedia conferences, and to communicate the session addresses (whether multicast or unicast) and conference-tool- specific information necessary for participation. Such sessions are be announced using the Session Announcement Protocol (SAP) described in a companion draft [1]. The SAP protocol allows for the incorporation of authentication of the announcement originator, and for privacy of the session details; however neither the choice of authentication algorithms used, nor the mechanisms for encrypting the SAP Session Description, are detailed in the draft.

This document describes the format of the authentication header for SAP data packets that use security services based on PGP [2] or PKCS#7 [3]. The SAP document also provides for the confidentiality services required for the SDP payload [4], which describes the conference set-up parameters. This document describes how both symmetric and hybrid symmetric/public key encryption algorithms should be used to provide private announcements.

Much of this document is concerned with security considerations. This document is currently in the process of peer review and, until the process has been completed, should not be considered authoritative in this area.

2. Authentication and Encryption of Announcements

2.1 Introduction

It is necessary to provide authentication and integrity of the Session Announcement to ensure that only authorised persons modify Session Announcements and to provide facilities for announcing securely encrypted sessions - providing the relevant proposed conferees with the means to decrypt the data streams. The Session Announcements are made to announce to all potential conferees the existence of a conference. It has, however, another function - to try to minimise conflicts for Mbone resources by spreading out the number of simultaneous conferences. Thus there are a number of threats which we must try to address in the securing of the Session Announcement, and some constraints. These include the following:

- Authentication and Integrity of Session Announcement

  Here it is necessary to ensure that the Session Announcement comes from the person claimed, and is indeed an authorised announcement. Since subsequent announcements will modify caches of future conferences, it is possible otherwise to spoof an original announcement, and thereby at least cause a Denial of Service attack

- Confidentiality of Conference Details for Session Announcement

Here it is at least necessary to hide the details of the addresses and media formats used. In order to minimise schedule conflicts; it is desirable to keep at least the time of a conference known, even if all other details are concealed.

Three types of announcement are supported: 'unsecured', 'authenticated' and 'authenticated and encrypted'. The 'unsecured' type is described in the SAP specification [1] and so only the latter two types are described below.

2.2 Symmetric and Asymmetric Encryption

The simplest versions of encryption used are *symmetric* ones; here the same encryption key '*a*' is used to encrypt and decrypt a message. This means that, if E{a,M) is the operation of encrypting the message M with the key *a* and algorithm E, then the operation E{*a*, E{*a*, M}} reproduces the original message M. Because this form of encryption relies on the sender and receiver having the same key, it cannot be used for authentication. An alternative form of encryption is *asymmetric* encryption. Here two keys, *a* and *b*, are used. When these are used one after the other to encrypt a message the original message is obtained. Mathematically, these keys and the encryption algorithm E have the property that E{*a*, E{*b*, M}} and E{*b*, E{*a*, M}} both produce the original message M - but given *a*, it should be impractical to deduce *b* and vice versa.

With an asymmetric encryption algorithm, a *Public Key Cryptographic System* (PKCS) can be derived in which one of the keys, say the Public Key (PK), is published in some way while the other, the Secret Key (SK), is kept secret. Using such a PKCS, it is possible to achieve both confidentiality and authentication. Encrypting a message with the recipient's Public Key ensures confidentiality as only the recipient with the corresponding SK can decrypt the message. Encrypting a message with the SK of the sender ensures authentication as only the sender could have sent the message initially whereas anybody having access to the Public Key can verify that it was indeed sent by the person holding the corresponding Secret Key.

Two complete systems, which can achieve both authentication and confidentiality using particular PKCS systems, are PGP [2] and PKCS#7 [3]; similar mechanisms are used, but different encryption algorithms and formats are used. The differences between the algorithm and format details for these two systems are elaborated in Sections 3.2 and 3.3.

2.3 Authenticated Announcements

In order to send authenticated announcements it is possible to use the algorithms of either PGP [2] or the PKCS#7 [3] systems. The resulting format will be substantially different; the exact details are given in Sections 3.2 and 3.3. For each format, the announcement originator calculates a message digest of the announcement. The originator's secret key is used to encrypt the message digest, together with an electronic timestamp, thus forming a digital signature. The originator sends the digital signature along with the message; the receiver receives the message and the digital signature, and recovers the original message digest from the digital signature by decrypting it with the sender's public key. The receiver computes a new message digest from the message, and checks to see if it matches the one recovered from the digital signature. If it

matches, then this is considered adequate proof that the message was not altered, and that it came from the originator who owns the public key used to check the signature.

Each Session announcement contains a message ID hash [4]. The specifications for SAP announcements [1] states that such announcements may be repeated frequently, but that if any change is made in the announcement, a different message ID must be used; as a result, a different message ID hash will be appended to the message. As a result, it is only necessary to authenticate an announcement the first time it is received.

To save space in the announcement message, only a public key identifier is generally included. It is then assumed that the public key itself has either been distributed previously or can be retrieved from a cache or directory. Optionally the Public Key itself can be included in the announcement removing the need for prior distribution. Consequently, providing that the Public Key is already available in a local cache or Directory, or is distributed with the announcement, one can be sure that the same originator sent the announcement. Only if the full public key information, and a Certificate Authority infrastructure, are accessible [5], can the originator be identified.

2.4 Authenticated and Encrypted Announcements

2.4.1 Introduction

When it is desired to make private announcements, it is necessary to encrypt the set-up details of the conference. The normal way of providing such encryption is to use only a symmetric encryption algorithm such as the Data Encryption Standard (DES [6]) to encrypt such a session using a Session Encryption Key (SEK); this algorithm is used because other systems, such as the asymmetric RSA system [10], are too computation-intensive for large amounts of data - though they are economic for smaller amounts. For symmetric encryption systems, the SEK must be securely distributed to all authorised recipients.

2.4.2 Distribution of Session Encryption Keys

There are various ways that the SEK could be distributed; all rely on distributing some shared secret in advance to the intended participants in the conference group. When this process takes place out of band, it is not described further in this document.

Many symmetric encryption algorithms, e.g. DES [6] are known to be easy to break; with such algorithms, it is undesirable to re-use the SEK many times. For this reason, and to improve security, a set of SEKs may be distributed out-of-band; the recipients may then try to decrypt the announcement by trying each of these SEKs in turn.

As in Section 2.3, one may use the fact that if any change is made in the announcement, a different message ID, and hence message ID hash is used; it is only necessary to attempt to

decrypt an announcement message the first time it is received. The basic symmetric system is contained in SAP [1]. To improve efficiency, it would be possible to use symmetric encryption with a pre-distributed Key Identifier (KeyID). However, because of the potential weakening of the security by the use of KeyIDs, and the consequent need to use more secure symmetric algorithms, we do not recommend this technique. Moreover, by adopting the use of asymmetric Public Key technology for such SEK distribution as discussed below, we gain both efficiency and have a better integrated approach to authentication.

2.4.3 Use of Public Key Algorithms

Public Key Cryptography is one mechanism for minimising the need for secure transmission of shared secrets; this was already used in the Authenticated Announcements of Section 2.2. It would be possible to use these encryption algorithms on the whole announcement message but this would be inefficient because the asymmetric encryption algorithms normally use much longer encryption keys, and are much more resource-intensive, than the symmetric ones. For this reason it is more efficient to use a combination of symmetric and Public Key algorithms. Now a random Session Encryption Key (SEK) is generated as in Section 2.4.1. A Privacy Header (PH) is constructed containing the SEK, which is encrypted with the asymmetric encryption algorithm. It is now only necessary to distribute a Secret Group Key (SGK) and Public Group Key (PGK) i.e. {SGK, PGK} to decrypt the SEK. However, this pair needs to be distributed only once as long as the group membership does not change; it is possible to re-use the same group keys for many sessions, with different SEKs. This minimises the number of times the prior key distribution sequence must be followed.

It should be noted that because a Group Key is used in the above, it is not possible to use the same Header to authenticate the sender uniquely, though it is authenticated automatically that the sender is one of the group which has reserved the asymmetric encryption key pair. It is still possible to authenticate the identity of the sender by using a different Authentication Key for the Authentication Header as described in Section 2.3.

It would be possible to use a similar technique using symmetric encryption with a strong encryption algorithm and an encryption key Identifier instead of the Public Key Group Key, However, we believe the Public Key method to be superior so this variant is not pursued.

2.4.4 Encrypting Announcements

We will now provide some more detail. If the payload is to be compressed, this is performed prior to encryption.

When an announcement is to be encrypted, the payload is encrypted using symmetric encryption. In this case each such encryption key is used only once; a new Session Encryption Key (SEK) is generated as a random number for each announcement. Since it is to be used only once, the SEK is bound to the message and transmitted with it in a Privacy Header. The sequence is as follows:

The Privacy Header contains the SEK, encrypted with the group's Public Group Key, together with information identifying the Group Key which has been used. The encrypted Payload is appended to the Privacy Header.

2.4.5 Decrypting Announcements

Upon receiving a new announcement with the encryption bit set, a receiver should attempt to decrypt the announcement with the relevant group private key or their own private key as indicated in the Privacy Header. The sequence is as follows:

1. Prior to the announcement, the group's Public/Secret Group Key pair is distributed securely.

2. From the announcement, the receiving participants determine which Public Group Key has been used by looking at the information contained in the Privacy Header.

3. They then decrypt the Session Encryption Key (SEK) with the SGK corresponding to the PGK identified in Step 2 and obtain other necessary information such as the content encryption algorithm from the Privacy Header.

4. The authorised receivers decrypt the encrypted text payload using the SEK and the relevant symmetric content encryption algorithm, which was used to encrypt the payload.

Note that if an encrypted announcement is being announced via a proxy, then there may be no way for the proxy to discover that the announcement has been superseded, and so it may continue to relay the old announcement in addition to the new announcement. SAP provides no mechanism to chain modified encrypted announcements, so it is advisable to announce the unmodified session as deleted for a short time after the modification has occurred. This does not guarantee that all proxies have deleted the session, and so receivers of encrypted sessions should be prepared to discard old versions of session announcements that they may receive (as identified by the SDP version field). In most cases however, the only stateful proxy will be local to (and known to) the sender, and an additional (local-area) protocol involving a handshake for such session modifications can be used to avoid this problem.

3. Secured SAP Packet Formats

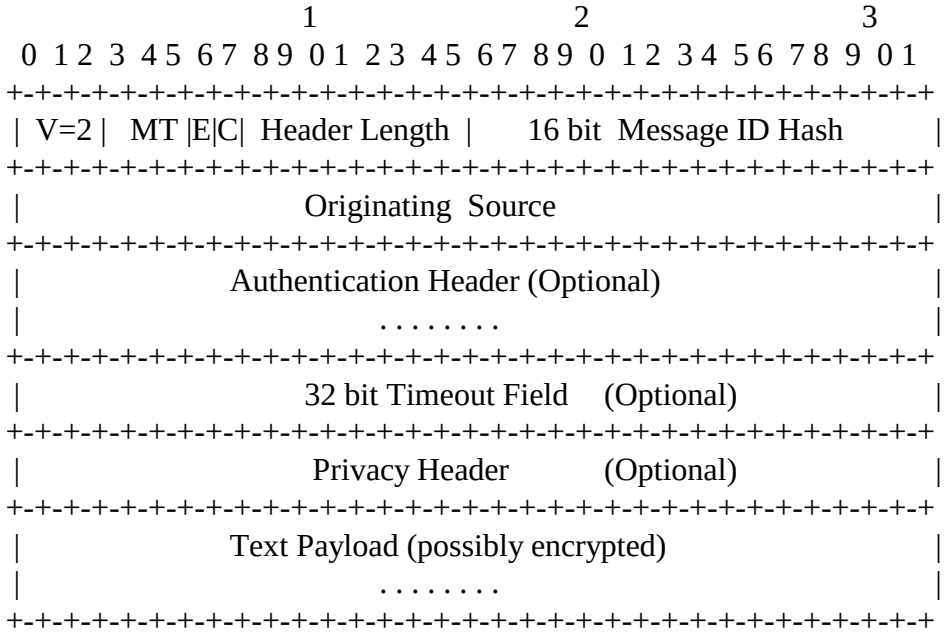
Both Authentication and Privacy can be achieved using PGP [2] or PKCS#7 [3] format packets. In Section 3.1 we discuss the generic packet format defined in SAP [1]. In Section 3.2 we consider the formats of the Authentication Header, and in Section 3.3 that of the encrypted payload.

It would be possible to define our own versions of the packets for this application. In that case the formats would be simpler, but all the implementations would have to be coded using the basic encryption libraries, and a new infrastructure would have to be defined. Both PGP and

PKCS#7 already have complete implementations and, by using their formats, several application tool kits are already available (e.g. Entrust [14], Secude [15]). In addition, these formats also have complete infrastructures defined around them. For these reasons, we have chosen to retain enough compatibility to ease the eventual implementation, while simplifying the formats as far as possible within such a constraint. There is an additional advantage in this approach; it will be possible to send session announcements by the encrypted Session Invitation Protocol or by electronic mail using PGP or S-MIME, and re-use much of the same code as with SAP.

3.1 Secured SAP Packet Format

The SAP data packets as defined in [1] has the following format:



Notes:

Version Number, V: This is a 3-bit field and has the value 2 for this version of SAP [1]

Message Type, MT: This defines the contents of the payload and can be

0 Session Descriptor Announcement Packet in which case the payload is an SDP session description as described in [4]

1 Session Description Deletion Packet in which case the payload is a single SDP line containing the origin field of the announcement to be deleted

Encryption Bit, E: -. If this is set, the text payload has been encrypted

Compression Bit, C: If this is set the payload has been compressed using the gzip compression utility [7]

Header length: This is an 8 bit unsigned quantity giving the number of 32 bit words following the main SAP header that contains the authentication data. If this is non-zero, the payload is authenticated, and an Authentication Header is present

Message Identifier Hash: This is a 16 bit quantity that, when used in combination with the originating source, provides a globally unique id identifying the precise version of this announcement. The message id hash should be changed if any field of the session description is changed. A value of zero means that the hash should be ignored and the message should always be parsed.

Originating Source: This 32-bit field gives the IP address of the original source of the message. It is permissible for this to be zero if the message has not passed through a proxy relay and if the message id hash is also zero. This is intended for backward compatibility with SAPv0 clients only.

Authentication Header: This can be used for two purposes:

1 Verification that changes to a session description or deletion of a session are permitted

2 Authentication of the identity of the session creator.

In some circumstances only verification is possible because a certificate signed by a mutually trusted person or authority is not available. However, under such circumstances, the session originator can still be authenticated to be the same as the session originator of previous sessions claiming to be from the same person. This may or may not be sufficient, depending on the purpose of the session and the people involved. The precise format of the Authentication Header is discussed in Section 3.2.

Timeout: When the session payload is encrypted, and the session description is being relayed or announced via a proxy, the detailed timing fields in the SDP description are not available to the proxy. This is because these fields are encrypted and the proxy is not trusted with the decryption key. Under such circumstances, SAP includes an additional 32-bit timestamp field stating when the session should be timed out. The digital signature in the authentication header encompasses the time-out so that a session cannot be maliciously deleted by modifying its time-out in an announcing proxy. The value is an unsigned quantity giving the NTP time [8] in seconds at which time the session is timed out. It is in network byte order

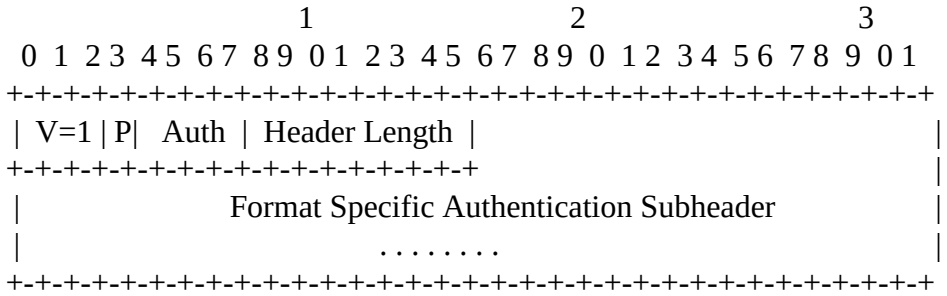
Privacy Header: This is present when the text payload has been encrypted using hybrid encryption.

Text Payload: When there is no encryption, the encryption bit is not set and this format is as defined in the SDP [4] draft. However, when encryption has been used the payload is encrypted and the format is discussed in Section 3.3.

3.2 Authentication Header

3.2.1 Generic Format

The generic format of the Authentication Header is given below. The structure of the Format Specific Authentication Subheader, using both the PGP and the PKCS#7 formats, is discussed in Sections 3.2.2 and 3.2.3 respectively.



Notes:

Version Number, V: For this release the version number is 1 (3 bits)

Padding Bit, P: If necessary the data in the Authentication Header is padded to be a multiple of 32 bits and the Padding bit is set. In this case the last byte of the Authentication Header contains the number of padding bytes (including the last byte) that must be discarded.

Authentication Type, Auth: The Authentication Type is a 4 bit encoded field that denotes the authentication infrastructure the sender expects the recipients to use to check the authenticity and integrity of the information. This defines the format of the Authentication Subheader and can be:

1 PGP Format
2 PKCS#7 Format
3 PGP Format with the ‘Certificate’ included.
4 PKCS#7 with the Certificate include

Header Length: This gives the length of the Authentication Header.

3.2.2 PGP Format

The generic description of the PGP packets is presented in [2]. For PGP the basic Format Specific Authentication Subheader comprises a digital signature packet as described in [2]. This

involves the use of a hash code, or message digest algorithm, and a public key encryption algorithm. The hash is taken of the text payload together with the signature classification (1 byte) and signature time stamp (4 bytes) fields as described in [2]. For the case when the Authentication type is 1 the Subheader contains a Digital Signature Packet only with the hexadecimal signature classification being <00> or <01>. The only Message Digest Algorithm is 1 (MD5) and the Public Key Cryptosystem (PKC) is 1, this being the RSA system. If the type is 3 then a Certificate Packet is also appended to the previous Signature Packet. A certificate packet is composed of the following individual packets:

(a) A Public Key Packet which defines the RSA public key
(b) A UserID packet
(c) A Signature Packet

The Public Key packet again has the Public Key Cryptosystem 1. In the case of Signature Packet (c) the signature classification now takes the hexadecimal values <10> to <13>. These packets are all detailed in [2].

3.2.3 PKCS#7 Format

The Format Specific Authentication Subheader will, in the PKCS#7 case, have an ASN.1 ContentInfo type with the ContentType being signedData. Use is made of the option available in PKCS#7 to leave the content itself blank as the content which is signed is, in this application, already present as the text payload, whether this is encrypted or not. Thus inclusion of it within the SignedData type would be duplication and increase the packet length unnecessarily. The full specification for this ASN.1 type is available in [3].

There will only be one signerInfo and related fields corresponding to the originator of the SAP announcement. Although it would be possible to transfer the relevant information is a single signerInfo type rather than the complete ContentInfo it is considered preferable to use the latter for two reasons. Firstly, this is compatible with a wider range of applications and security toolkits and secondly, that the certificate can be included in a standard way. If the Authentication Type is 2 there are no certificates or certificate revocation lists whereas if the authentication type is 4 the originator's X.509 certificate is added in the certificate field of this type.

In addition, for both type 2 and 4, use is made of the ability in PKCS#7 to authenticate various attributes as specified in PKCS#9 [16]. The authenticatedAttributes field of the SignerInfo type is used, the authenticated attribute being the SigningTime. This is a mandatory field in this specification. Consequently, the initial input to the message digesting process is the contents octets of the DER encoding of the content field of the ContentInfo value (not including the identifier octets or the length octets). Moreover, the signing time is an authenticated attribute. Thus, the result of the message digest is the complete DER encoding of the Attributes value contained in the AuthenticatedAttributes field. This contains the SigningTime in addition to the content type and message digest of the payload, which are included automatically.

3.3 Encrypted Payload Format

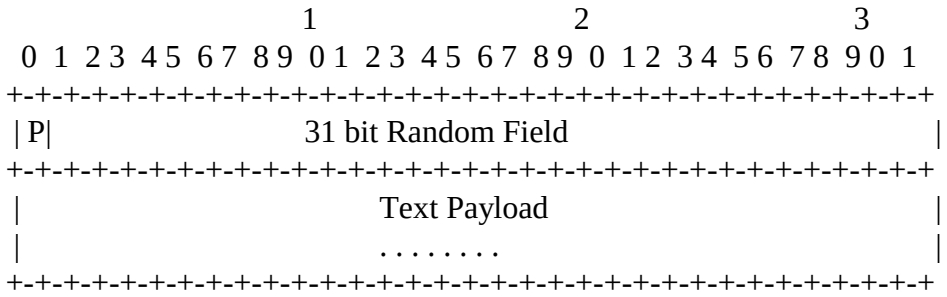
3.3.1 Generic Format

The format of the Encrypted Payload depends on the type of encryption used to encrypt the SDP text payload [4]. If no encryption has been used only the Text payload is present.

If encryption has been used then the encryption bit in the main SAP header is set and the payload is encrypted either symmetrically or using hybrid encryption. For symmetric encryption the format is detailed in Section 3.3.2 whereas hybrid encryption is detailed in Section 3.3.3. For hybrid encryption there are two possibilities - PGP and PKCS#7 Formats. The application is expected to test whether the fields immediately following the timeout field in the main SAP header is compatible with the use of symmetric encryption; in that case it will be a padding bit followed by a 31-bit random field, or whether it is compatible with the use of hybrid encryption. In this case there is a very specific format to the first byte of the Privacy header, which follows other time-out field in this case.

3.3.2 Symmetric Encryption

If symmetric encryption alone has been used then the encrypted payload has a random field added prior to encryption as below.



Notes

Random Field: This field is only present when payload is encrypted using symmetric encryption and is used to perform the randomisation tasks normally performed by an initialisation vector in algorithms such as DES. This 31-bit field should contain a genuinely random number.

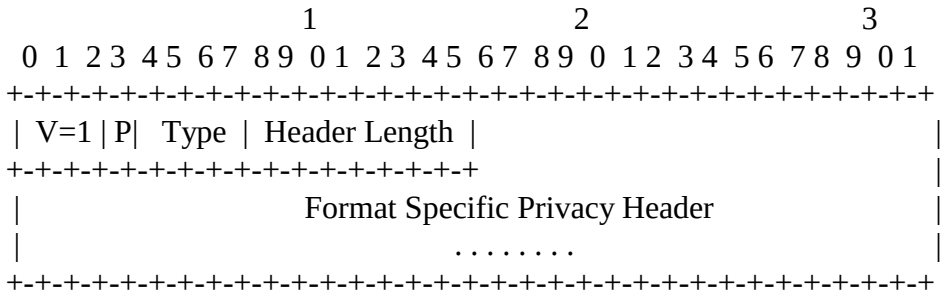
Padding Bit, P: This bit indicates that the payload was padded prior to encryption. The last byte of the encrypted payload indicates how many padding bytes were added.

The data following the Time-out field is decrypted using the algorithm specified above. Further details on the encryption algorithms are given in [6, 12, 13].

3.3.3 Hybrid Encryption

If a combination of asymmetric and symmetric encryption has been used then the part of the SAP packet following the time-out field has the following structure. This effectively takes the form of a Privacy header followed by the encrypted SDP payload, the precise format depending on whether PGP or PKCS#7 formats have been used. The specific details for each of these formats are described in Sections 3.3.3.1 and 3.3.3.2 respectively.

Privacy Header:



Notes

Version, V: In this version the Version of the privacy Header is 1

Padding Bit, P: If necessary the data in the Privacy Header is padded to be a multiple of 32 bits and the Padding bit is set. In this case the last byte of the Privacy Header contains the number of padding bytes (including the last byte) that must be discarded.

Format Type, Type: This can be either 1 for PGP or 2 for PKCS#7 format.

Header Length: This gives the length of the Privacy Header.

3.3.3.1 PGP Format Privacy Header

For the case when the Format Type is 1 the Format Specific Privacy Subheader is composed of a PGP Public Key encrypted packet and the text payload is a PGP Conventional Key Encrypted Packet. These are detailed in [2]. The Public Key Cryptosystem is 1, this being defined as the RSA system, and the only supported symmetric encryption algorithm is the IDEA algorithm, corresponding to the Conventional encryption type byte value of 1.

3.3.3.2 PKCS#7 Format Privacy Header

If the Type is 2 then the Format Specific Privacy Header is composed of a PKCS#7 ContentInfo type with the ContentType being envelopedData. These are detailed in [2]. In this case the Text

Payload, which has been symmetrically encrypted with the algorithm specified in the contentEncryptionAlgorithm field, is effectively the encryptedContent part of the structure. There will be only one recipientInfo structure corresponding to the group certificate, which has been previously distributed. The contentType in the EncryptedContentInfo structure is "Data".

3.3.4 Supported Algorithms

It is the policy of the IESG that unencumbered algorithms should be used wherever possible. The only encumbered algorithm mandatory in the section below is RSA; we understand that arrangements are being made to avoid licence fees on this algorithm. At present implementations of suitable unencumbered algorithms are not readily available.

3.3.4.1 Symmetric Encryption

If symmetric encryption alone is used then DES [6] and Triple-DES (DES EDE3 CBC) [17] MUST be supported.

3.3.4.2 Hybrid Encryption

3.3.4.2.1 PGP Format

- *Content Encryption* – the IDEA symmetric encryption algorithm with a key length of 128 bits MUST be supported.

- *Digest Algorithm* – the MD5 [18] Message Digest Algorithm MUST be supported.

- *Digest Encryption Algorithm* – the asymmetric rsaEncryption algorithm [10] MUST be supported with key sizes from 512 bits to 1024 bits.

- *Key encryption Algorithm* - the asymmetric rsaEncryption algorithm [10] MUST be supported with key sizes from 512 bits to 1024 bits.

3.3.4.2.2 PKCS#7 Format

- *Content Encryption* – Receiving agents MUST support decryption using the RC2 algorithm [20] at a key size of 40 bits (RC2/40). Receiving agents SHOULD support decryption using Triple-DES [17]. Sending agents SHOULD support encryption with RC2/40 and Triple-DES.

- *Digest Algorithm* – Receiving agents MUST support SHA-1 [19] and MD5 [18]. Sending agents should use SHA-1.

- *Digest Encryption Algorithm* – Receiving agents and sending agents MUST support rsaEncryption [10]. Receiving agents MUST support verification of signatures using RSA public key sizes from 512 bits to 1024 bits.

- *Key encryption Algorithm* - Receiving agents MUST support rsaEncryption [10]. Sending agents MUST support rsaEncryption and encryption of symmetric keys with RSA public keys at key sizes from 512 bits to 1024 bits.

Appendix A: Authors' Addresses

Peter Kirstein, Goli Montasser Kohsari and Edmund Whelan are at University College London and their contact details are:

P.Kirstein@cs.ucl.ac.uk Tel: +44 171 380 7286
G.Montasser-Kohsari@cs.ucl.ac.uk Tel: +44 171 380 7215
E.Whelan@cs.ucl.ac.uk Tel: +44 171 419 3688

Dept of Computer Science Fax: +44 171 387 1397
University College London
Gower Street
London WC1E 6BT England

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[19] NIST FIPS PUB 180-1 “Secure Hash Standard” National Institute of Standards and Technology, U.S. Department of Commerce, DRAFT, 31

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